Impact and Associations of Eosinophilic Inflammation in COPD: Analysis of the AERIS Cohort

Conflict of interest: TMAW has received reimbursement for travel and meeting attendance from Boehringer Ingelheim and AstraZeneca, outside of the submitted work. KJS received grants from Asthma UK (08/026) and BMA HC Roscoe Award outside of the submitted work, and he has a patent PCT/GB2010/050821 "Ex Vivo Modelling of Therapeutic Interventions" pending. EA, JMD are employees of the GSK group of companies. SCC received grants from Pfizer. EA, JMD hold shares/restricted shares in the GSK group of companies. KJS, VK, NPW, KKO, SAW, SCC, and TMAW received an institutional grant from the GSK group of companies to conduct this study. ACT, NAC, and SCB declare no conflict of interest.



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Coombs

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Dr. Coombs has nothing to disclose.

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patent

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| 4. Are you the corresponding author? | Yes 🖌 No | Corresponding Aut | hor's Name |
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| 1. Given Name (First Name) Jeanne-Marie | 2. Surnal Devaste | me (Last Name) r | | | 3. Date 24-November-2015 |
| 4. Are you the corresponding author? | Yes | √ No | Correspon TBD | ding Autł | nor's Name |
| 5. Manuscript Title AERIS publications (114378) | | | | | |
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Ostridge

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| 1. Given Name (First Name) Kristoffer | 2. Surname (Last Name) Ostridge | 3. Date 10-November-2015 |
| 4. Are you the corresponding author? | Yes 🖌 No | Corresponding Author's Name |
| 5. Manuscript Title EPI-HIP 001 study | | |
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Section 6.

Disclosure Statement

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Dr. Ostridge has nothing to disclose.

Evaluation and Feedback



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Williams

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| Section 1. Iden | ntifying Information | |
|--|---|---|
| 1. Given Name (First Nam Nicholas | e) 2. Surname (Last Name) Williams | 3. Date 06-November-2015 |
| 4. Are you the correspond | ding author? 🖌 Yes 🗌 No | |
| 5. Manuscript Title AERIS study publicatior | าร | |
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Section 6.

Disclosure Statement

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Dr. Nicholas has nothing to disclose.

Evaluation and Feedback



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| 1. Given Name (First Name) Simon | 2. Surname (Last Name) Bourne | 3. Date 16-December-2015 |
| 4. Are you the corresponding author? | Yes 🖌 No | Corresponding Author's Name Dr Tom Wilkinson |
| 5. Manuscript Title AERIS Manuscript | | |
| 6. Manuscript Identifying Number (if you | know it) | |
| Section 2. The Work Under | Consideration for Publi | cation |
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Dr. Bourne has nothing to disclose.

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| ituart | 2. Surname (Last Name) Clarke | 3. Date 22-December-2015 |
|--|--|---|
| I. Are you the corresponding author | ? Yes 🖌 No | Corresponding Author's Name Dr Jeanne-Marie Devaster |
| . Manuscript Title publications related to the EPI-HII | P-001 study | |
| . Manuscript Identifying Number (if | you know it) | |
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| Section 2. The Work Up | der Consideration for Public | |
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of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were **present during the 36 months prior to publication**.

Are there any relevant conflicts of interest? Yes No

If yes, please fill out the appropriate information below.

| Name of Entity | Grant? | Personal Fees? | Non-Financial Support? | Other? | Comments |
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| Pfizer | 1 | | | | |



Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes V No

Section 5. Relationships not covered above

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

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Section 6. Disclosure Statement

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Dr. Clarke reports grants from GSK, during the conduct of the study; grants from Pfizer, outside the submitted work; .

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Wootton

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Wilkinson

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of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were **present during the 36 months prior to publication**.

Are there any relevant conflicts of interest? Yes No

If yes, please fill out the appropriate information below.

| Name of Entity | Grant? | Personal Fees? | Non-Financial Support? | Other? | Comments |
|----------------------|--------------|-------------------|---------------------------|--------------|-------------------------------|
| Boehringer Ingelheim | | | | \checkmark | Travel and meeting attendance |
| Astra Zeneca | \checkmark | | | \checkmark | Travel and meeting attendance |

Wilkinson



Section 4.

Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes 🗸 No

Section 5. Relationships not covered above

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

Yes, the following relationships/conditions/circumstances are present (explain below):

No other relationships/conditions/circumstances that present a potential conflict of interest

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Section 6. Disclosure Statement

Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.

Dr. Wilkinson reports grants and non-financial support from GSK, during the conduct of the study; other from Boehringer Ingelheim, grants and other from Astra Zeneca, outside the submitted work; .

Evaluation and Feedback



Instructions

2.

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

1. Identifying information.

The work under consideration for publication.

This section asks for information about the work that you have submitted for publication. The time frame for this reporting is that of the work itself, from the initial conception and planning to the present. The requested information is about resources that you received, either directly or indirectly (via your institution), to enable you to complete the work. Checking "No" means that you did the work without receiving any financial support from any third party – that is, the work was supported by funds from the same institution that pays your salary and that institution did not receive third-party funds with which to pay you. If you or your institution received funds from a third party to support the work, such as a government granting agency, charitable foundation or commercial sponsor, check "Yes".

Relevant financial activities outside the submitted work.

This section asks about your financial relationships with entities in the bio-medical arena that could be perceived to influence, or that give the appearance of potentially influencing, what you wrote in the submitted work. You should disclose interactions with ANY entity that could be considered broadly relevant to the work. For example, if your article is about testing an epidermal growth factor receptor (EGFR) antagonist in lung cancer, you should report all associations with entities pursuing diagnostic or therapeutic strategies in cancer in general, not just in the area of EGFR or lung cancer.

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For grants you have received for work outside the submitted work, you should disclose support ONLY from entities that could be perceived to be affected financially by the published work, such as drug companies, or foundations supported by entities that could be perceived to have a financial stake in the outcome. Public funding sources, such as government agencies, charitable foundations or academic institutions, need not be disclosed. For example, if a government agency sponsored a study in which you have been involved and drugs were provided by a pharmaceutical company, you need only list the pharmaceutical company.

4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

5. Relationships not covered above.

Use this section to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work.

Definitions.

Entity: government agency, foundation, commercial sponsor, academic institution, etc.

Grant: A grant from an entity, generally [but not always] paid to your organization

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Non-Financial Support: Examples include drugs/equipment supplied by the entity, travel paid by the entity, writing assistance, administrative support, etc. Other: Anything not covered under the previous three boxes Pending: The patent has been filed but not issued Issued: The patent has been issued by the agency Licensed: The patent has been licensed to an entity, whether earning royalties or not

Royalties: Funds are coming in to you or your institution due to your patent



| 1. Given Name (First Name) Viktoriya | 2. Surname (Last Name) Kim | 3. Date 02-November-2015 |
|--|--|--|
| 4. Are you the corresponding author? | ✓ Yes No | |
| 5. Manuscript Title EPI-HIP-001 publications | | |
| 5. Manuscript Identifying Number (if you | know it) | |
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| Section 2. The Work Under | Consideration for Publication | n |
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Are there any relevant conflicts of interest? Yes No

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|--------------------|--------|-------------------|---------------------------------------|--------------|----------------------------------|
| GSK Biologicals SA | | | | \checkmark | funded and supported AERIS study |



Section 4.

Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes V No

Section 5. Rela

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Royalties: Funds are coming in to you or your institution due to your patent

Staples

5.

1



| 1. Given Name (First Name) Karl | 2. Surname (Last Na Staples | ime) | | 3. Date 24-April-2017 | |
|---|---|---|-------------------|---------------------------|------|
| 4. Are you the corresponding author? | ✓ Yes No | | | | |
| 5. Manuscript Title Impact and Associations of Eosinoph | nilic Inflammation in CO | PD: Analysis of th | e AERIS Cohort | | |
| 6. Manuscript Identifying Number (if you | u know it) | | | | |
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| Did you or your institution at any time re any aspect of the submitted work (includ statistical analysis, etc.)? Are there any relevant conflicts of int If yes, please fill out the appropriate i | eceive payment or services ling but not limited to grar erest? Yes nformation below. If yo | s from a third party nts, data monitoring No ru have more than | g board, study de | sign, manuscript preparat | ion, |
| Section 2. The Work Under Did you or your institution at any time re any aspect of the submitted work (includ statistical analysis, etc.)? Are there any relevant conflicts of int If yes, please fill out the appropriate i Excess rows can be removed by press Name of Institution/Company | eceive payment or services ling but not limited to grar erest? Yes nformation below. If yo | s from a third party nts, data monitoring No nu have more than | g board, study de | sign, manuscript preparat | ion, |

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|----------------|--------|-------------------|---------------------------|--------|----------|
| Asthma UK | 1 | | | | |



| Name of Entity | Grant? | Personal Fees? | Non-Financial Support? | Other? | Comments |
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| AstraZeneca | | | | | |
| BMA HC Roscoe Award 2013 | \checkmark | | | | |

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Ves No If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button.

| Patent? | Pending? | Issued? | Licensed? | Royalties? | Licensee? | Comments |
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| PCT/GB2010/050821 "Ex Vivo Modelling of Therapeutic Interventions" | | | | | | |

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Dr. Staples reports grants from GSK Biologicals SA, during the conduct of the study; grants from Asthma UK, grants from AstraZeneca, grants from BMA HC Roscoe Award 2013, outside the submitted work; In addition, Dr. Staples has a patent PCT/GB2010/050821 "Ex Vivo Modelling of Therapeutic Interventions" pending.

Evaluation and Feedback