



# A systematic review of how patients value COPD outcomes

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Systematic review of the importance placed by patients on COPD outcomes informs the trade-off between benefits and harms <a href="http://ow.ly/l5De30kgD9g">http://ow.ly/l5De30kgD9g</a>

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ABSTRACT Our objective was to summarise systematically all research evidence related to how patients value outcomes in chronic obstructive pulmonary disease (COPD).

We conducted a systematic review (systematic review registration number CRD42015015206) by searching PubMed, Embase, PsycInfo and CINAHL, and included reports that assessed the relative importance of outcomes from COPD patients' perspective. Two authors independently determined the eligibility of studies, abstracted the eligible studies and assessed risk of bias. We narratively summarised eligible studies, meta-analysed utilities for individual outcomes and assessed the certainty of evidence using the Grading of Recommendations, Assessment, Development and Evaluations approach.

We included 217 quantitative studies. Investigators most commonly used utility measurements of outcomes (n=136), discrete choice exercises (n=13), probability trade-off (n=4) and forced choice techniques (n=46). Patients rated adverse events as important but on average, less so than symptom relief. Exacerbation and hospitalisation due to exacerbation are the outcomes that COPD patients rate as most important. This systematic review provides a comprehensive registry of related studies.

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### Introduction

Considering patient values and preferences regarding the benefits and harms of a health intervention is essential for clinical evidence-based decision-making [1–4]. The Grading of Recommendations Assessment, Development and Evaluation (GRADE) working group has recently operationalised patient values and preferences as "the relative importance patients place on outcomes" [3, 5]. Information about the relative importance of outcomes is critical to weigh the health benefits and harms of interventions and test strategies [5], including those recommended in clinical practice guidelines. Indeed, numerous studies have addressed how patients value chronic obstructive pulmonary disease (COPD) outcomes but to appropriately inform practice and guidelines, this evidence should be summarised in systematic reviews that allow retrieving and summarising the best evidence from individual studies on health outcomes [2, 6–9]. Considering the disease burden of COPD [10], such a review would inform decision-making for a large patient community globally. We therefore conducted this systematic review to summarise all research evidence that addressed the question, what is the relative importance patients place on COPD-related outcomes [3, 5]?

### **Methods**

### Protocol and registration

We conducted this systematic review of the literature in accordance with the Preferred Reporting in Systematic Reviews and Meta-Analyses guidelines [11] and registered the review protocol on PROSPERO (registration number CRD42015015206).

### Information sources

We searched Medline (through PubMed), Embase, PsycInfo and CINAHL from inception date to October 15, 2017, using an extensive search strategy developed for retrieving this type of evidence (supplementary material) [12], including reference lists of identified studies.

### Study selection

Two authors independently determined the eligibility of studies by reviewing titles and abstracts and, for potentially eligible studies, through review of full-text articles with a standardised and piloted screening form. Reviewers resolved disagreement by discussion or through third-party adjudication. Eligible studies reported patient values and preferences of COPD patients, with no limits on the type of study design, language or treatments. Studies with the following characteristics were eligible for reporting the relative importance of outcomes [4].

1) Patient utility and health state value studies: studies that examined how patients value alternative health states and experiences with treatment. The eligible measurement techniques were: standard gamble, time trade-off (TTO), visual analogue scale (VAS), or mapping results based on either generic (EuroQol-5D (EQ-5D) or SF-36) [13] or specific measurement (*i.e.* Chronic Respiratory Questionnaire) of health-related quality of life. We expected one major category of eligible studies to be "utility" studies. Utilities represent the strength of an individual's preferences for different outcomes. They are expressed on a scale from 0 indicating dead to 1 indicating perfect health (for some variations of the scale, the upper bound may be 100). The higher the utility is (the closer the estimate is to perfect health), the more value patients will place on the outcome.

2) Direct choice studies: studies that examined patients' choice when they were presented with a description of hypothetical states or during decision making for their own actual health states (*i.e.* forced choice when presented with a decision aid, probabilistic trade-off techniques, discrete choice, willingness to pay, randomised controlled trials (RCTs) for preferences, *etc.*).

3) Other quantitative studies on outcome importance: studies that quantitatively examined the patients' views, attitudes or preferences on outcome importance through self-developed questionnaires or instruments that were not utility measurement techniques.

We included only quantitative studies reporting COPD as a comorbidity if they reported COPD relative importance of outcomes information separately. We excluded non-original studies such as clinical practice guidelines, reviews, commentaries, letters or viewpoints. We also excluded case reports, case series and health economic evaluation studies without original utility elicitation. Qualitative studies that explored patients' views, attitudes or preferences related to different treatment options were excluded from this review but included and reported in a subsequent review.

### Data collection and certainty of evidence

Two authors independently recorded data: principal author, publication year, participant demographics (sample size, age, sex, *etc.*), survey techniques or methodologies used, relative importance of outcome results and risk of bias assessments.

Since there is no accepted risk of bias or study quality assessment tool for value and preference studies, we used an approach that we developed, validated and reported in a separate project [14]. The key items to assess the risk of bias include sample selection, response rate (or attrition rate if participants were followed up), choice and administration of the instrument, outcome (or health state) presentation, participants' understanding of the methodology, and data analysis (if applicable). We then used the GRADE approach to rate the certainty of the overall body of evidence for outcome importance [14, 15]. The GRADE approach classifies certainty of evidence as high, moderate, low or very low based on domains of risk of bias, inconsistency, indirectness, imprecision, publication bias and upgrading domains.

#### Data analysis

A priori, we set the disease severity following the Global Initiative for Chronic Obstructive Lung Disease (GOLD) criteria, indicating the severity of airflow obstruction, as a potential subgroup factor to consider [16]. We used the severity of airflow obstruction categories of mild (forced expiratory volume in 1 s (FEV1)  $\geq 80\%$  predicted), moderate (FEV1  $\geq 50\%$  to < 80% predicted), severe (FEV1  $\geq 30\%$  to < 50% predicted) and very severe (FEV1 < 30% predicted) reported by authors to determine subgroups. Information on the relative importance of outcomes exists in a variety of formats, including the utility of outcomes or disease stages, proportion of choice, rankings or scores on a scale. For the sake of simplicity, we report all estimates using the descriptive term "utility" to indicate the health status values elicited from standard gamble, TTO, VAS and results for same outcomes using a random-effects inverse variance method in Stata 11.0 (StatCorp, College Station, TX, USA) [18]. For consistency, we presented the results on a 0–1 scale even if they had been elicited on a 0–100 scale. For nonutility results regarding patient values and preferences, we narratively summarised the results.

### Results

### Study selection and study characteristics

Of 54598 records, after excluding duplicates, 41781 titles and abstracts remained; 3154 articles proved potentially eligible and underwent full-text screening. Of these, 217 quantitative studies reporting patient values and preferences on COPD outcomes proved eligible (figure 1 and references of all included studies in the supplementary material).

Of the 217 eligible studies, 136 reported utility or health state values for COPD outcomes, of which: 69 utilised the feeling thermometer or VAS, including the EQ-5D VAS; eight the standard gamble; and six the TTO. For indirect measurements, 82 studies reported EQ-5D utilities, 14 SF-6D utilities, seven Health Utility Index (HUI), seven 15D and three the Quality of Well-Being utilities. Of 65 direct choice studies, 46 used forced choice techniques, 13 discrete choice exercise/conjoint analysis or willingness to pay, four probability trade-off, and three ranking methods (supplementary table 1). Regarding study design, 127 were cross-sectional studies, 21 cohort studies, 11 repeated surveys, 51 RCTs and seven quasirandomised trials.

The outcomes studied typically included exacerbation or hospitalisation due to exacerbation, adverse events, symptom relief, and different severities of COPD. Table 1 summarised this type of evidence (also see supplementary table 1). Despite the large number of eligible studies, few reported the relative importance of outcome information on the same outcomes. Meta-analyses were restricted to studies focusing on exacerbation, and different COPD severities measured with VAS and EQ-5D utility. We found no compelling evidence of publication bias.

Supplementary table 2 summarises the risk of bias assessment. Studies suffered from serious risk of bias related to limitations in the validity and reliability of the measurement tools (68 studies directly asking participants to choose among a set of options) and use of a convenience sampling strategy or a volunteer sample (14 studies); or response rates <50% (32 studies). For other risk of bias considerations, we classified most studies as low risk of bias (supplementary table 2).

### Importance of exacerbation

The measurements used to elicit the importance of exacerbation or hospitalisation due to exacerbation include VAS (including the EQ-5D VAS) (10 studies [19–27, 47]). TTO (one study [27]) and the EQ-5D utility (seven studies [21–24, 39, 40, 43]). We observed variations in the description of "exacerbation". Three studies utilised clinical diagnoses, without a specific definition of an exacerbation [24, 43, 47]. All remaining studies defined exacerbation as worsened symptoms [19–27, 39, 40, 43, 47, 48]. Of these, three studies explicitly reported a category for exacerbation, with one using the definition of the British Thoracic Society [21] and the two others the American Thoracic Society/European Respiratory Society definition [23, 40]. The other reports varied in defining "exacerbation," with three reports focusing on exacerbations needing hospitalisation [19, 20, 26] and another one specifying the length of symptoms [22].

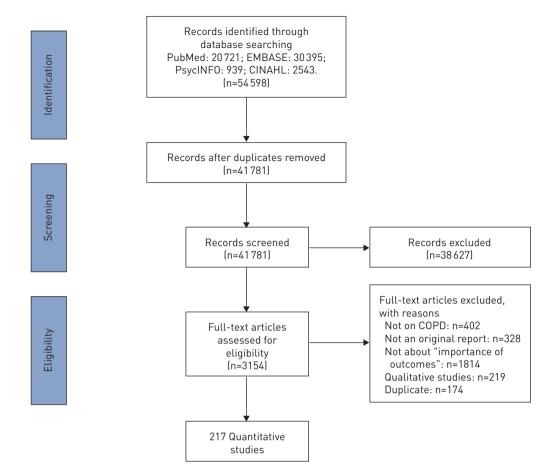


FIGURE 1 Flow diagram for systematic review on chronic obstructive pulmonary disease patients' values and preferences.

The estimates varied from 0.259 to 0.580 on the VAS, and 0.430 to 0.740 with the EQ-5D utility. We conducted meta-analysis using the inverse variance method to pool the estimates based on VAS and EO-5D, yielding utility of exacerbation of 0.462 (95% CI 0.453-0.471,  $I^2$ =98.2%, p<0.001 for the test of heterogeneity) on the VAS and 0.519 (95% CI 0.502-0.537, I<sup>2</sup>=95.5%, p<0.001 for the test of heterogeneity) with the EQ-5D utility. Of the eight studies included in the meta-analysis, six recruited patient populations with a mean age between 66 and 69 years [19-21, 24-26]; of those, four were from the UK [21, 24-26], two from other European countries [19, 20], one from the USA [22], and another was a multicentre study conducted in countries including the USA, UK and other countries [23]. The study populations were similar across the studies regarding age and setting. We could not explain the large degree of inconsistency and, thus, rated down the certainty of evidence as moderate (table 1). For studies that used the EQ-5D utility measurement, we further rated down for indirectness given the indirect measurement tool used (i.e. the patients participating did not themselves place a value on exacerbations, but merely reported the consequences on EQ-5D items). One study used a more granular approach to addressing the importance of exacerbations: RUTTEN VAN MOLKEN et al. [27] reported the values of different severities of exacerbations. The authors described serious and nonserious exacerbations according to the severity of increase in respiratory and nonrespiratory symptoms, impact on daily activities, and response to treatment. To summarise, for a nonserious exacerbation, patients will experience mild-to-moderate worsening of breathlessness and cough, and the symptoms interfere with daily activities; while patients with a serious exacerbation will experience severe-to-very severe worsening of breathlessness and cough, and the symptoms will completely disrupt daily activities. Based on VAS and TTO measurements, respectively, the disutility (defined as a reduction in utility) for one nonserious exacerbation was 0.037 (VAS) and 0.010 (TTO); for two nonserious exacerbations, 0.068 and 0.021; for one serious exacerbation, 0.090 and 0.042; for one serious exacerbation and one nonserious, 0.130 and 0.088 (table 2). The certainty of this evidence is high. Other studies suggested patients have lower utility as the exacerbations became more frequent or more severe [40, 43].

# TABLE 1 Summary of findings

Health state/outcome (categories of values and preferences)	Estimates of outcome importance range across studies/pooled mean (95% CI)	Participants/ studies	Certainty of evidence	Interpretation of findings
Exacerbation (utility <sup>#</sup> measured with visual analogue scale <sup>11</sup> )	0.259-0.580/0.462 (0.453-0.471)####	1991/8 <sup>####</sup>	+++- Moderate certainty due to inconsistency <sup>####</sup>	Most people find exacerbation of COPD probably has a large impact on their lives. There is probably no important variability for this assessment.
Exacerbation (EQ-5D utility*)	0.430-0.683/0.519 (0.502-0.537)1111	927/3 <sup>11111</sup>	++ Low certainty due to inconsistency and indirectness <sup>11111,######</sup>	Most people find exacerbation of COPD probably has a large impact on their lives. There is probably no important variability for this assessment.
Exacerbation (disutility) <sup>§</sup>	Visual analogue scale 1 nonserious exacerbation: -0.037 (0.005) 2 nonserious exacerbations: -0.068 (0.005) 1 serious exacerbation: -0.090 (0.007) 1 nonserious and 1 serious exacerbation: -0.130 (0.007) Time trade off 1 nonserious exacerbation: -0.010 (0.007) 2 nonserious exacerbations: -0.021 (0.007)	239/1	++++ High certainty	Most people find exacerbation of COPD has an impact on their lives, which grows larger as the severity of exacerbation progresses. There is probably no important variability for this assessment.
	1 serious exacerbation: -0.042 (0.009)			
Level 1 dyspnoea (utility measured with visual analogue scale) <sup>f</sup>	1 nonserious and 1 serious exacerbation: -0.088 (0.009) 0.751	146/1 <sup>f</sup>	++++ High certainty <sup>f</sup>	Most people find level 1 dyspnoea has a small to moderate impact on lives. There is probably no important variability for this assessment.
Level 2 dyspnoea (utility measured with visual analogue scale) <sup>f</sup>	0.656	45/1 <sup>f</sup>	+++ Moderate certainty due to imprecision <sup>f</sup>	Most people find level 2 dyspnoea probably has a moderate impact on lives. There is probably no important variability for this assessment.
Level 3 dyspnoea (utility measured with visual analogue scale) <sup>f</sup>	0.526	7/1 <sup>f</sup>	++ Low certainty due to very serious imprecision <sup>f</sup>	Most people find level 3 dyspnoea probably has a large impact on lives. There is probably no important variability for this assessment.
Adverse events (discrete choice)##	Two studies suggested that patients consider adverse events as important outcomes. One study suggested adverse events were more important than onset time of medicine, ease of use, rescue medicine use. Another suggested adverse events were more important than costs of treatment, extent to which the patient sees the same doctor each time and extent to which the doctor treats the patient as an entire person. Both studies concluded symptom relief to be more important than adverse events.	564/2	+++- Moderate certainty due to risk of bias <sup>##</sup>	People probably consider adverse events as an important outcome. There is likely no important variability for this assessment.
Extent of symptom relief (discrete choice)##	Two studies compared extend of symptom relief with other outcomes. Extent of symptom relief was considered the most important outcome in these two studies.	564/2	+++- Moderate certainty due to risk of bias <sup>##</sup>	Most people probably find symptom relief as important outcome. There is probably no important variability for this assessment.
Extent of symptom relief (forced choice) <sup>111</sup>	In a survey on expectation of treatment, greater symptomatic relief was chosen by 82.3% of the participants, thus the most important outcome. Extent of symptom relief was considered the second most important outcome in one cross-sectional study [less preferred "not to be kept alive on life support when there is little hope for a meaningful recovery"]. Another study reported 58.0% of the participants would prefer treatment focusing on relieving pain and discomfort rather than extending life.	1640/3	+++- Moderate certainty due to risk of bias <sup>111</sup>	Most people probably find symptom relief as important outcome. There is probably no important variability for this assessment.
Very severe COPD (utility measured with visual analogue scale)**	0.321-0.651/0.345 (0.335-0.354)****	746/7	++ Low certainty due to risk of bias <sup>++++++</sup> and inconsistency <sup>++</sup>	Most people find very severe COPD seems to have a large impact on lives. There is probably important
Very severe COPD (EQ-5D utility) <sup>§§</sup>	0.520-0.740/0.681 (0.667-0.694) <sup>§§§§</sup>	898/10	+++- Moderate certainty due to	variability for this assessment. Most people find very severe COPD probably has a large impact on lives. There is probably important
Severe COPD (utility measured with visual analogue scale) <sup>ff</sup>	0.446-0.689/0.508 (0.501-0.515) <sup>ffff</sup>	4683/8	inconsistency <sup>8888</sup> ++ Low certainty due to risk of bias <sup>+++++</sup> and inconsistency <sup>ffff</sup>	variability for this assessment. Most people find severe COPD probably has a moderate to large impact on lives. There is probably important variability for this assessment.

Continued

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### TABLE 1 Continued

Health state/outcome (categories of values and preferences)	Estimates of outcome importance range across studies/pooled mean (95% CI)	Participants/ studies	Certainty of evidence	Interpretation of findings
Severe COPD (EQ-5D utility)###	0.620-0.810/0.741 [0.734-0.749]#####	4352/11	+++- Moderate certainty due to inconsistency <sup>#####</sup>	Most people find severe COPD probably has a moderate to large impact on lives. There is probably important variability for this assessment.
Moderate COPD (utility measured with visual analogue scale) <sup>1111</sup>	0.589–0.726/0.639 (0.635–0.642) <sup>111111</sup>	9664/10	++ Low certainty due to risk of bias****** and inconsistency <sup>1111111</sup>	Most people find moderate COPD probably has a moderate impact on lives. There is probably important variability for this assessment.
Moderate COPD (EQ-5D utility)***	0.680-0.890/0.821 (0.815-0.826)*****	4620/9	+++ Moderate certainty due to inconsistency*****	Most people find moderate COPD probably has a moderate impact on lives. There is probably important variability for this assessment.
Mild COPD (utility measured with visual analogue scale) <sup>§§§</sup>	0.680–0.811/0.738 (0.732-0.746) <sup>§§§§§</sup>	3623/8	++ Low certainty due to risk of bias <sup>++++++</sup> and inconsistency <sup>§§§§§</sup>	Most people find moderate COPD probably has a small to moderate impact on lives. There is likely important variability for this assessment.
Mild COPD (EQ-5D utility) <sup>fff</sup>	0.770–0.900/0.873 (0.863–0.883) <sup>fffff</sup>	2067/7	+++- Moderate certainty due to inconsistency <sup>fffff</sup>	Most people find moderate COPD probably has a small to moderate impact on lives. There is probably important variability for this assessment.

Grading of Recommendations Assessment, Development and Evaluation Working Group grades of evidence: here we assessed the certainty of evidence on mean outcome importance. We use "certainty of evidence", "certainty in estimates", "quality of evidence" and "strength of evidence" interchangeably. High certainty: we are very confident that the true value of outcome importance lies close to that of the estimate. Moderate certainty: we are moderately confident in the estimate: the true value of outcome importance is likely to be close to the estimate but there is a possibility that it is substantially different. Low certainty: our confidence in the estimate is limited: the true value of outcome importance may be substantially different from the estimate. Very low certainty: we have very little confidence in the estimate; the true value of outcome importance is likely to be substantially different from the estimate EQ-5D: EuroQol-5D; COPD: chronic obstructive pulmonary disease. #: utilities represent the value individuals place on different outcomes; they are measured on an interval scale, with 0 reflecting states of health equivalent to death/worst imaginable health and 1 (or 100 in some cases) reflecting perfect health/best imaginable health. 1. eight studies [19-26] used EQ-5D visual analogue scale to elicit health state values on exacerbation of COPD. \*: three studies [21-23] used EQ-5D utility to elicit the importance of outcome. S: RUTTEN VAN MOLKEN et al. [27] reported the disutility due to the exacerbations; the measurement tools included visual analogue scale and time trade off; the researchers estimated the disutility due to exacerbation using random effects regression analysis. f: KiM et al. [28] reported the utility of dyspnoea, according to the levels of breathlessness (level 1, short of breath during strenuous activities: level 2, stopping to catch breath after a few minutes walking; level 3, breathless when dressing or washing); in a total sample of 200, the numbers of participants experiencing level 1, 2 and 3 breathlessness were 146, 45 and seven, respectively, due to small sample size, we downgraded the certainty of evidence by one level for the estimates of level 2 breathlessness and two levels for level 3 breathlessness. ##: BULCUN et al. [29] compared extent of symptom relief with extent to which the doctor gives sufficient time to listen to the patient, possibility of experiencing adverse effects from treatment, costs of treatment, extent to which the patient sees the same doctor each time and extent to which the doctor treats the patient as an entire person; KAWATA et al. [30] recruited 515 patients for an online voluntary survey on the comparison of importance of symptom relief, speed of symptom relief, rescue medicine use and side-effects; participants' eligibility and their answers were considered as having serious risk of bias. 11: three studies [31-33] asked directly what participants would prefer in facing a COPD treatment decision; the questions included expectation of treatment, reasons to continue or not continue with treatment and preferred treatment characteristics; the assessment was at risk of bias due to unclear reliability and validity features. \*\*: [27, 28, 34–37]. §5: [27, 28, 35–42]. ff: [27, 28, 34–37, 43, 44]. ###: [28, 35–40, 43, 44]. 111: [27, 28, 34–37, 43, 44]. [111: [27, 28, 34–37, 43, 44]. eight included studies, the point estimates range from 0.259 to 0.580; using inverse-variance method to pool the estimates, the I<sup>2</sup> [95.5%] and statistical test (<0.001) suggest potential heterogeneity across studies; the difference in study populations cannot explain the source of heterogeneity (the participants in the studies were exacerbation patients, exacerbation patients not needing hospitalisation, ambulatory patients and hospitalised patients due to exacerbation), 11111; across three included studies, the point estimates range from 0.430 to 0.683; using inverse-variance method to pool the estimates, the 12 (95.5%) and statistical test (<0.001) suggest potential heterogeneity across studies; the difference in study populations cannot explain the source of heterogeneity (the participants in the three studies were exacerbation patients, exacerbation patients, not needing hospitalisation and ambulatory patients). \*\*\*\*: the point estimates range from 0.321 to 0.651; using inverse-variance method to pool the estimates, the l<sup>2</sup> (98.5%) and statistical test (<0.001) suggest potential heterogeneity across studies; the difference in study population cannot explain the source of heterogeneity. 5885: the point estimates range from 0.520 to 0.740; using inverse-variance method to pool the estimates, the I<sup>2</sup> (80.2%) and statistical test (<0.001) suggest potential heterogeneity across studies; the difference in study population cannot explain the source of heterogeneity. ffff: the point estimates range from 0.446 to 0.689; using inverse-variance method to pool the estimates, the l<sup>2</sup> (98.8%) and statistical test (<0.001) suggest potential heterogeneity across studies: the difference in study population cannot explain the source of heterogeneity. inverse-variance method to pool the estimates, the 12 (94.5%) and statistical test (<0.001) suggest potential heterogeneity across studies; the difference in study population cannot explain the source of heterogeneity. point estimates range from 0.589 to 0.726; using inverse-variance method to pool the estimates, the 1<sup>2</sup> (97.9%) and statistical test (<0.001) suggest potential heterogeneity across studies; the difference in study population cannot explain the source of heterogeneity, \*\*\*\*\*; the point estimates range from 0.680 to 0.890; using inverse-variance method to pool the estimates, the 12 (97.8%) and statistical test (<0.001) suggest potential heterogeneity across studies; the difference in study population cannot explain the source of heterogeneity. \$\$\$\$. the point estimates range from 0.680 to 0.811; using inverse-variance method to pool the estimates, the 12 (88.0%) and statistical test (<0.001) suggest potential heterogeneity across studies; the difference in study population cannot explain the source of heterogeneity. fffff: the point estimates range from 0.770 to 0.900; using inverse-variance method to pool the estimates, the I<sup>2</sup> (91.3%) and statistical test (<0.001) suggest potential heterogeneity across studies; the difference in study population cannot explain the source of heterogeneity. ######: we rated down the quality of evidence for indirectness because an indirect measurement tool (EQ-5D) was used to elicit the utility of outcomes. \*\*\*\*\*\*: we downgraded the certainty in evidence because of low response rate observed by LiN et al. [35] and the potentially biased sampling strategy by asking physicians to provided recruited patients by Boros and LUBINSKI [34].

### TABLE 2 Utility of exacerbation or hospitalisation due to exacerbation

Study	Instrument	Report format	Results
Alcazar et al. [19]	EQ-5D VAS	Mean±sp	Hospitalised patients: 0.551±0.197
Антони <i>et al.</i> [20]	EQ-5D VAS	Mean±sp	Hospitalised patients: 0.279±0.252
BOURBEAU et al. [47]	EQ-5D VAS	Mean±sp	Change from baseline: -0.126±0.190
Cross et al. [21]	EQ-5D VAS	Mean±sp	Exacerbation of COPD: manual chest physiotherapy arm 0.450±0.210;
	EQ-JD VAS	Medilitsu	
		М.,	no manual chest physiotherapy arm 0.466±0.214
	EQ-5D utility	Mean±sD	Exacerbation of COPD: manual chest physiotherapy arm 0.450±0.320;
			no manual chest physiotherapy arm 0.430±0.360
GOOSSENS et al. [22]	EQ-5D VAS	Mean±sp	Exacerbation (at enrolment): 0.367±0.252
	EQ-5D utility	Mean±sp	Exacerbation (at enrolment): 0.683±0.209
Menn et al. [39]	EQ-5D utility	Mean±sp	EQ-5D Admission Stage III: 0.620±0.260
			EQ-5D Admission Stage IV: 0.600±0.260
	SF-6D utility	Mean±sp	SF-12-SF-6D Admission Stage III: 0.610±0.130
	j		SF-12-SF-6D Admission Stage IV: 0.540±0.080
MIRAVITLLES et al. [23]	EQ-5D utility	Mean±sD	EQ-5D index baseline (exacerbation): 0.540±0.230
MRAVITLES CT DI. [20]	EQ-5D VAS	Mean±sp	EQ VAS baseline (exacerbation): 0.344±0.274
0'D		Mean±sp	
O'REILLY et al. [24]	EQ-5D utility	Mean±sp	Hospital admission: -0.077±0.397
	EQ-5D VAS	(050( 01)	Hospital admission: 0.259±0.170
PUNEKAR et al. [43]	EQ-5D utility	Mean (95% CI)	1–2 exacerbations in primary care physician setting: 0.740 (0.720–0.770)
			>3 exacerbations in primary care physician setting: 0.610 (0.590–0.640)
			1–2 exacerbations in respiratory specialist setting: 0.730 (0.710–0.760)
			>3 exacerbations in respiratory specialist setting: 0.570 (0.540–0.600)
RUTTEN VAN MOLKEN	VAS	Regression	1 nonserious exacerbation: -0.037±0.005
et al. [27]		соefficient±seм	2 nonserious exacerbations: -0.068±0.005
			1 serious exacerbation: -0.090±0.007
			1 nonserious and 1 serious exacerbation: $-0.130\pm0.007$
	тто		1 nonserious exacerbation: $-0.010\pm0.007$
	110		2 nonserious exacerbations: -0.021±0.007
			1 serious exacerbation: -0.042±0.009
			1 nonserious and 1 serious exacerbation: -0.088±0.009
SEYMOUR et al. [25]	EQ-5D VAS	Mean±sp	COPD baseline in usual care group: 0.540±0.170
			COPD baseline in post-exacerbation pulmonary rehabilitation group: 0.580±0.18
SOLEM et al. [40]	EQ-5D utility	Mean±sp	Patients recently experiencing a severe exacerbation: 0.627±0.210
			Patients recently experiencing a moderate exacerbation. 0.698±0.197
			Patients who had experienced ≥3 exacerbations in the previous year: 0.638±0.21
			Patients who had experienced 2 exacerbations in the previous year: 0.684±0.204
			Patients who had experienced 1 exacerbation in the previous year: 0.727±0.175
			Current health (last exacerbation): 0.552±0.283
			Thought back, patients experiencing a severe exacerbation (last exacerbation):
			0.471±0.313
			Thought back, patients experiencing a moderate exacerbation (last exacerbation) 0.595±0.257
			0.395±0.257 Very severe COPD (last exacerbation): 0.494±0.312
			Severe COPD (last exacerbation): 0.590±0.256
			Patients who had experienced ≥3 exacerbations in the previous year (last exacerbation): 0.520±0.282
			Patients who had experienced 2 exacerbations in the previous year (last
			exacerbation): 0.552±0.306
			Patients who had experienced one exacerbation in the previous year (last exacerbation): 0.610±0.254
Toppanor of al [/0]		Moonico	
Torrance et al. [48]	HUI	Mean±sD	For the first acute exacerbation of chronic bronchitis: ciprofloxacin
			group: 0.720±0.200); usual care group 0.680±0.190
			For the remaining acute exacerbation of chronic bronchitis: ciprofloxacin
			group: 0.740±0.180; usual care group 0.690±0.220
WILDMAN et al. [26]	EQ-5D VAS	Mean±sD	0.549±0.195
		Median (IQR)	0.500 (0.400-0.700)

EQ-5D: EuroQol-5D; VAS: visual analogue scale; TTO: time trade-off; HUI: Health Utility Index; IQR: interquartile range; COPD: chronic obstructive pulmonary disease.

### Importance of dyspnoea

Few studies explored the importance that patients place on dyspnoea. Three studies reported utilities related to dyspnoea. KIM *et al.* [38] reported the utilities measured by VAS by levels of breathlessness: 0.751, 0.656 and 0.526 for level 1 (short of breath during strenuous activities), level 2 (stopping to catch breath after a few minutes walking) and level 3 (breathless when dressing or washing) breathlessness, respectively. The estimates were based on a small sample, so we downgraded the certainty for the estimates by one level for level 2 and two levels for level 3 breathlessness due to concerns about imprecision. Two other reports corroborated that the more severe the dyspnoea symptom, the lower utility patients place on their health, though the specific levels of breathlessness were described differently (table 3) [43, 49]. Other structured surveys, without reporting utility values, also suggested dyspnoea as burdensome and a very important consideration in COPD-related decision-making [31, 50–58].

### Adverse events

Table 4 summarises the results related to the importance of adverse events. One of the two included discrete-choice studies compared the "possibility of adverse effects" with "the extent to which treatment seems to relieve symptoms", "the extent to which the doctor gives sufficient time to listen to the patient", "costs of treatment", "the extent to which the patient sees the same doctor each time", and "the extent to which the doctor treats the patient as an entire person" [29]. The extent of symptom relief was deemed to be more important than adverse effects but the possibility of adverse effects was more important than other outcomes. Another discrete choice study suggested symptom relief to be the most important outcome, while the possibility of adverse events was considered more important than the timing and use of (rescue) medicine [30]. The latter study was an online voluntary study in 515 participants, which we rated as having serious risk of bias due to selection bias and limited validity of the instrument. None of the studies explicitly described the outcome of "adverse events." The overall certainty of evidence about the importance of adverse events, based on these two discrete choice studies, is moderate due to serious risk of bias.

### Symptom relief

In general, patients considered symptom relief important. In one survey, 46.6% of patients considered relief of symptoms (*i.e.*, chest pain due to coughing, shortness of breath, nausea, *etc.*) as extremely important (ranking second after "not to be kept alive on life support when there is little hope for a meaningful recovery") [61]. For the extent of symptom relief, two discrete-choice studies suggested the extent of symptom relief as more important than adverse effects, the doctor giving sufficient time to listen to the patient, costs of treatment, seeing the same doctor each time, being treated as an entire person, onset time of medication, ease of medication use and use of rescue medication [29, 30]. A large proportion of the study participants were recruited through an online survey, and the eligibility of the participants and the accuracy of their answers were in question. For these reasons, we classified this study at high risk of bias and downgraded the certainty of evidence as moderate (table 1). Three other forced-choice studies corroborated this result [31–33]. For example, in a survey addressing expectation of treatment, 82.3% of the respondents chose greater symptomatic relief as the most important outcome [33]. Because the instruments in these surveys lacked evidence of validity, we rated down the certainty of evidence for risk of bias (moderate certainty evidence).

### Utility of COPD

Most studies addressing the utility of the experience of COPD itself were based on EQ-5D, HUI and 15D. Table 5 summarises the utilities based on various instruments across the airflow obstruction levels. Based on the EQ-5D only, we observed a gradient of disutility across GOLD stages: pooled estimates for EQ-5D measurements of mild COPD 0.873 (95% CI 0.863–0.883,  $I^2=91.3\%$ , p<0.001 for heterogeneity) [28, 35–37, 42, 43, 45], moderate 0.821 (95% CI 0.815–0.826,  $I^2=97.8\%$ , p<0.001 for heterogeneity) [28, 35–37, 41–45]; severe 0.741 (95% CI 0.734–0.749,  $I^2=94.5\%$ , p<0.001 for heterogeneity) [28, 35–37, 39–42, 44] and very severe 0.681 (95% CI 0.667–0.694,  $I^2=80.2\%$ , p<0.001 for heterogeneity) [28, 35–37, 39, 40–42, 44], respectively (figure 2). We rated down the certainty of evidence for these utilities due to unexplained inconsistency and for indirectness of the measurement tool (EQ-5D) (low-certainty evidence); we also observed a similar trend with VAS results (table 1).

### Other results

We also identified studies reporting importance on other outcomes (supplementary table 3); for example, intubation and speed of symptom relief.

# TABLE 3 Importance on breathlessness, shortness of breath, or dyspnoea

Study	Instrument	Report format	Results
Gruenberger et al. [49]	SF-6D utility	Mean	SF-6D health utilities were 0.060 points lower in higher dyspnoea patients (modified Medical Research Council score ≽2) than in lower dyspnoea patients
Кім et al. [28]	EQ-5D VAS	Mean±seм	EQ-5D utility Level 1 breathlessness (short of breath during strenuous activities): 0.870±0.020 Level 2 breathlessness (stopping to catch breath after a few minutes walking): 0.740±0.030
	EQ-5D utility	Mean±seм	Level 3 breathlessness (breathless when dressing or washing): 0.540±0.060 EQ-VAS Level 1 breathlessness (short of breath during strenuous activities): 0.751±0.026 Level 2 breathlessness (stopping to catch breath after a few minutes walking): 0.656±0.035 Level 3 breathlessness (breathless when dressing or washing): 0.526±0.071
Punekar <i>et al.</i> [43]	EQ-5D utility	Mean (95% CI)	<ul> <li>All in primary care physician setting: 0.700 (0.680–0.710)</li> <li>Breathlessness after exercising heavily in primary care physician setting: 0.880 (0.860–0.900)</li> <li>Breathlessness when hurrying on level ground in primary care physician: 0.790 (0.770–0.810)</li> <li>Too breathless to leave house in primary care physician: 0.170 (0.110–0.240)</li> <li>All in respiratory specialist setting: 0.680 (0.660–0.690)</li> <li>Breathlessness after exercising heavily in respiratory specialist setting: 0.880 (0.850–0.900)</li> <li>Breathlessness when hurrying on level ground in respiratory specialist setting: 0.790 (0.770–0.810)</li> <li>Too breathless to leave house in respiratory specialist setting: 0.790 (0.770–0.810)</li> </ul>
Braido <i>et al.</i> [50]	Uncategorised survey	Choice or proportion of choice	Breathlessness as most troublesome symptom: 64.6% (ranking first; chronic cough: 13.9%; sputum production: 11.0%; exacerbation: 8.3%)
Downey et al. [51]	Uncategorised survey: End of life Priority Score (the highest priority aspect of the end-of-life period)	Mean±sD	In a survey on end-of-life priority score measured by rank order (out of 5), breathing comfort was considered as priority: 1.27±1.83 (ranking third, only after time with family and friends, and pain under control)
Haughney <i>et al.</i> [52]	Conjoint analysis/discrete choice analysis	Mean	Breathlessness was considered important for patients. Of all the attributes, it was after "impact on everyday life", "need for medical care" and "number of future attacks". It is more important than speed of recovery, productive cough, social impact, sleep disturbance and impact on mood.
Hernández <i>et al.</i> [53]	Impact of shortness of breath	Choice or proportion of choice	Shortness of breath is an important outcome, because 6.0% of participants stated the impact on activities of daily living was extreme, 29.0% "very much", 24.0% "a little" and 13.0% "not at all"
Miravitlles <i>et al.</i> [54]	Ideal characteristics of a COPD therapy	Choice or proportion of choice	37.0% of the participants chose "increased shortness of breath" as the symptom that has a high impact on wellbeing (ranking second; increased coughing 42.0%, increased fatigue 37.0%, increased production of sputum 35.0%, increased frequency of chest pains 20.0% and fever 13.0%)

Continued

## TABLE 3 Continued

Study	Instrument	Report format	Results
PISA <i>et al.</i> [55]	Direct choice: relative importance of COPD attributes (%, higher proportion indicating more importance)	Choice or proportion of choice	Dyspnoea was considered the most important COPD attribute Relative importance of COPD attributes Dyspnoea: 36.0% Performance capability (bodily resilience) due to COPD: 19.0% Sleep quality due to COPD: 19.0% Onset of action of the medication: 3.0% Frequency of administration of the medication: 6.0% Health state after awakening (day start) due to COPD: 13.0% Emotional state due to COPD base medication: 4.0% Effect of attribute levels on health state preference: part-worth utilities (higher value indicating more importance): Dyspnoea Never dyspnoea, except on strong exertion: 115.80 Dyspnoea on exertion: 38.20 Dyspnoea at normal walking pace: -6.60 Dyspnoea on slight effort: -10.10 Dyspnoea even at rest: -137.40
Polati <i>et al.</i> [56]	Uncategorised survey: expectation of treatment	Choice or proportion of choice	120 [24.1%] patients would like to have more ease with "breathing" due to treatment; if they were doctors, 215 (43.3%) patients would like to first heal shortness of breath. For both questions, breathing problems were considered most important compared with other symptoms.
Reinke <i>et al.</i> [57]	Forced choice: treatment	Choice or proportion of choice	Preferences about death and dying questionnaire. 52.6% of 357 patients chose "being able to breath comfortably in the last 7 days of life" as preferred characteristics of treatment.
Rocker <i>et al.</i> [31]	Uncategorised survey: reasons to continue (or not) with opioids	Choice or proportion of choice	I would strongly prefer when followed up at 2 months, 8 (23.5%) and 1 (2.9%) patient claimed would "strongly prefer" and "prefer" to continue on opioids because they provide significant relief from dyspnoea; while at 4–6 months, 12 (29.3%) and 7 (17.1%) patients claimed would "strongly prefer" and "prefer" to continue on opioids because they provide significant relief from dyspnoea.
Wilson <i>et al.</i> [58]	Importance of mechanical ventilation: scales for the specific questions about mechanical ventilation	Median (IQR)	On a scale of 1–4 (0: not at all important; 1: a little; 2: quite a bit; 3: very much; 4: extremely important), the score for easing breathlessness was 2.5 (1.8–3.0) for those forego mechanical ventilation, and 3.0 (2.8–4.0) for those uncertain/accept mechanical ventilation.

EQ-5D: EuroQol-5D; VAS: visual analogue scale; COPD: chronic obstructive pulmonary disease; IQR: interquartile range.

TABLE 4	Importance	of adverse	events
	mportance	or duverse	CVCIICS

Study	Instrument	Reported format	Results
Bulcun <i>et al.</i> [29]	Conjoint analysis/discrete choice analysis	Influence or contribution or weight of certain aspects/ attributes	Possibility of experiencing adverse effects from treatment 20%: -0.90 10%: -0.06 4%: 1.00 Difference between highest and lowest utility levels: 8.20 The sequence of attributes from most important to least important: extent to which the doctor gives sufficient time to listen to the patient, possibility of experiencing adverse effects from treatment, costs of treatment, extent to which the patient sees the same doctor each time, and extent to which the doctor treats the patient as an entire person.
Kawata <i>et al.</i> [30]	Willingness to pay, conjoint analysis/discrete choice analysis	Mean (95% CI)	Utility score Mild side-effects (no side-effects as reference): -0.29 (-0.330.24) Moderate to severe side-effects (no side-effects as reference): -1.13 (-1.181.09) Willingness to pay Mild side-effects (no side-effects as reference): \$14.81 (\$12.40-17.22) Moderate to severe side-effects (no side-effects as reference): \$58.69 (\$56.28-61.11) Adverse event was considered important for COPD treatment. It was the second most important, only after "complete symptom relief", and more important than "rarely use rescue medication", "quick and easy to use
MIRAVITLLES <i>et al.</i> [54]	Ideal characteristics of a COPD therapy	Choice or proportion of choice	<ul> <li>inhaler" and "feeling medication work quickly".</li> <li>Ideal characteristics of a COPD therapy as listed by survey respondents <ul> <li>Fewer side-effects 36.0%</li> </ul> </li> <li>The sequence of ideal characteristics from most important to least important: quick symptom relief, longer intervals between flare-ups, fewer side-effects, better ability to cope with daily chores again, lower costs of treatment, better doses.</li> </ul>
Patridge <i>et al.</i> [59]	Uncategorised survey: perception of disease severity	Choice or proportion of choice	30.6% of participants expressed concern regarding potential medication side-effects, and on average, patients considered that explaining clearly what are the possible side-effects and risks of the products was very important (9.0 out of 10 on a scale with 1 indicating not at all important and 10 indicating extremely important).
Sharafkhaneh et al. [60]	Primary disadvantages of nebulisation therapy	Choice or proportion of choice	<ul> <li>Question: what do you see as the main negatives or disadvantages of nebulisation? No negatives: 86 (21.5%) Side-effects: 46 (11.5%)</li> <li>The sequence of disadvantages from most important to least important: device immobile/bulky/cumbersome, time-consuming, side-effects, inconvenient, don't like doing it, having to use it several times a day, care and clean-up after use, too expensive.</li> </ul>

COPD: chronic obstructive pulmonary disease.

### Discussion

We have conducted the most comprehensive systematic review to date of how COPD patients value outcomes. The identified studies were highly variable in their designs, measurement instruments used and outcomes addressed. Patients rated exacerbations of COPD or hospitalisation due to exacerbations as very important. Studies, primarily using the EQ-5D, consistently reported that the utility associated with living with COPD decreases as the disease progresses. Patients considered symptom relief important and more important than adverse events from treatment.

Вокоз and Lubinski [34] Снем <i>et al.</i> [38] Hong <i>et al.</i> [45] Кім <i>et al.</i> [28]	VAS EQ-5D utility SF-6D utility <sup>f</sup> EQ-5D utility EQ-5D VAS EQ-5D utility EQ-5D VAS	format Mean (95% Cl, sD) Mean Mean±SD Mean±SD (adjusted mean ±SEM) Mean±SD (adjusted mean	Mild COPD# 0.730 (0.722-0.739, 0.164) 0.900±0.140 0.730±0.186 0.830±0.170 (0.830±0.040) 0.719±0.189	Moderate COPD <sup>1</sup> 0.626 [0.621-0.630, 0.164] 0.890±0.140 0.708±0.191 0.880±0.120 [0.880±0.020]		Very severe COPD <sup>§</sup> 0.321 (0.302-0.339, 0.171) 0.565 0.597 1±0.150 1±0.234 0.610±0.260
Lubinski [34] Chen <i>et al.</i> [38] Hong <i>et al.</i> [45]	EQ-5D utility SF-6D utility <sup>f</sup> EQ-5D utility EQ-5D VAS EQ-5D utility EQ-5D VAS	SD) Mean Mean Mean±SD Mean±SD (adjusted mean ±SEM) Mean±SD	0.164) 0.900±0.140 0.730±0.186 0.830±0.170 (0.830±0.040)	0.890±0.140 0.708±0.191 0.880±0.120	0.161) 0.686 0.646 0.840 0.605 0.820±0.160	0.565 0.597 1±0.150 1±0.234 0.610±0.260
Снем <i>et al.</i> [38] Номс <i>et al.</i> [45]	SF-6D utility <sup>f</sup> EQ-5D utility EQ-5D VAS EQ-5D utility EQ-5D VAS	Mean Mean±sp Mean±sp Mean±sp (adjusted mean ±sEM) Mean±sp	0.900±0.140 0.730±0.186 0.830±0.170 (0.830±0.040)	0.708±0.191 0.880±0.120	0.686 0.646 0.840 0.809 0.820±0.160	0.597 1±0.150 1±0.234 0.610±0.260
Hong <i>et al.</i> [45]	SF-6D utility <sup>f</sup> EQ-5D utility EQ-5D VAS EQ-5D utility EQ-5D VAS	Mean Mean±sp Mean±sp (adjusted mean ±sEM) Mean±sp	0.730±0.186 0.830±0.170 (0.830±0.040)	0.708±0.191 0.880±0.120	0.646 0.840 0.609 0.820±0.160	0.597 1±0.150 1±0.234 0.610±0.260
	EQ-5D utility EQ-5D VAS EQ-5D utility EQ-5D VAS	Mean±sD Mean±sD Mean±sD (adjusted mean ±sEM) Mean±sD	0.730±0.186 0.830±0.170 (0.830±0.040)	0.708±0.191 0.880±0.120	0.840 0.609 0.820±0.160	±0.150 ±0.234 0.610±0.260
	EQ-5D VAS EQ-5D utility EQ-5D VAS	Mean±sD Mean±sD (adjusted mean ±sEM) Mean±sD	0.730±0.186 0.830±0.170 (0.830±0.040)	0.708±0.191 0.880±0.120	0.609 0.820±0.160	0.610±0.260
Кім <i>et al.</i> [28]	EQ-5D utility EQ-5D VAS	Mean±sD (adjusted mean ±sEM) Mean±SD	0.830±0.170 (0.830±0.040)	0.880±0.120	0.820±0.160	0.610±0.260
Кім <i>et al.</i> [28]	EQ-5D VAS	(adjusted mean ±sем) Mean±sp	(0.830±0.040)			
		±sem) Mean±sp		(0.880±0.020)	(0.810±0.030)	
			0.719±0.189			(0.600±0.040)
		(adjusted mean		0.719±0.178	0.650±0.206	0.609±0.139
		±SEM)	(0.739±0.054)	(0.751±0.029)	(0.689±0.033)	(0.651±0.056)
LIN et al. [35]	EQ-5D utility	Mean±sp	0.810±0.140	0.810±0.140	0.760±0.170	0.740±0.150
	EQ-5D VAS	Mean±sp	0.766±0.175	0.726±0.191	0.657±201	0.611±0.197
MENN et al. [39]	EQ-5D utility	Mean±sp			0.620±0.260	0.600±0.260
	SF-6D utility	Mean±sp			0.610±0.130	0.540±0.080
PICKARD et al. [36]	EQ-5D utility <sup>##</sup>	Mean±sp	0.800±0.130	0.700±0.210	0.720±0.190	0.720±0.160
	EQ-5D utility <sup>¶¶</sup>	Mean±sp	0.730±0.190	0.590±0.320	0.630±0.250	0.630±0.240
	EQ-5D VAS	Mean±sp	0.743±0.163	0.662±0.200	0.601±0.184	0.587±0.158
PUNEKAR et al. [43]	EQ-5D utility	Mean (95% CI)	0.770 (0.730-0.810) in	0.680 (0.620-0.740)	0.620 (0.560-0.680)	0.00710.100
	EQ-5D utility	Mean (95% CI)	primary care setting 0.680 (0.640–0.720) in respiratory specialist care setting	0.720 (0.690–0.750)	0.640 (0.610-0.720)	
Rodriguez Gonzalez-Moro et al.[46]	EQ-5D VAS	Mean (95% CI)	cure setting	0.589 (0.581–0.599)	0.459 (0.449-0.467)	
RUTTEN VAN MOLKEN	EQ-5D VAS	Mean (95% CI)		0.677 (0.665–0.690)	0.625 (0.610-0.639)	0.578 (0.545-0.612)
et al. [44]	EQ-5D utility <sup>¶¶</sup>	Mean (95% CI)		0.787 (0.771–0.802)	0.750 (0.731-0.768)	0.647 (0.598-0.695)
Ct dd [-+-]	EQ-5D utility <sup>##</sup>	Mean (95% CI)		0.832 (0.821-0.843)	0.803 (0.790-0.816)	0.731 (0.699–0.762)
RUTTEN VAN MOLKEN	VAS	Mean±seм	Mild COPD: 0.811	Disutility of moderate	Disutility of severe COPD	Disutility of very severe
et al. [27]	110	HIGHTISEM	±0.011	COPD in relation to mild COPD: -0.133±0.006	in relation to mild COPD: -0.354±0.006	COPD in relation to mild COPD: -0.508±0.006
	TTO	Mean±SEM	Mild COPD: 0.974 ±0.017	Disutility of moderate COPD in relation to mild COPD: -0.045±0.008	Disutility of severe COPD in relation to mild COPD: -0.257±0.008	Disutility of very severe COPD in relation to mild COPD: -0.452±0.008
SCHARF et al. [62]	HUI utility	Mean±sp	0.400±0.330	0.580±0.360	0.530±0.350	0.390±0.510
SOLEM et al. [40]	EQ-5D utility	Mean±sp			0.707±0.174	0.623±0.234
STAHL et al. [37]	EQ-5D VAS	Mean±sp	0.730±0.210	0.650±0.240	0.620±0.210	0.370±0.280
	EQ-5D utility	Mean±sp	0.840±0.150	0.730±0.230	0.740±0.250	0.520±0.260
Starkie <i>et al.</i> [41]	EQ-5D utility	Mean±sp		Observed utility for moderate COPD: 0.752 ±0.220	Observed utility for severe COPD: 0.708 ±0.230	Observed utility for very severe COPD: 0.672±0.220
SZENDE et al. [42]	EQ-5D utility	Mean±sp	0.850±0.160	0.730±0.210	0.740±0.240	0.530±0.280
	SF-6D utility	Mean±sp	0.800±0.130	0.730±0.130	0.730±0.140	0.620±0.150

### TABLE 5 Utility of different chronic obstructive pulmonary disease (COPD) severities

GOLD: Global Initiative for Chronic Obstructive Lung Disease; VAS: visual analogue scale; EQ-5D: EuroQol-5D; TTO: time trade-off; HUI: Health Utility Index. #: forced expiratory volume in 1 s (FEV1)  $\geq$ 80% predicted; 1: FEV1 <80- $\geq$ 50% predicted; \*: FEV1 <50- $\geq$ 30% predicted; <sup>§</sup>: FEV1 <30% predicted; f: Hong Kong value set; ##: US value set; 11: UK value set.

Several aspects distinguish our work from previous published literature reviews [63–67]. Our work yielded more studies because of the broad definition focusing on the importance of outcomes, and including all types of relevant studies and measurement tools. For example, our work is more comprehensive than the work MOAYERI *et al.* [63] who evaluated EQ-5D utilities of COPD stages, though the results of our pooled EQ-5D utilities proved similar. Two other reviews included only multiattribute utility results [63, 64]. BROOKER *et al.* [67] identified 10 studies on patient preferences for mechanical ventilation in COPD, most of them cross-sectional surveys with forced choice questions. A second aspect in which our work differs is the critical assessment, both at the individual-study level for risk of bias, and at the body of evidence level with the GRADE approach and the associated summary of findings table [68].

Our study has some limitations. First, because of the paucity of evidence based on standard gamble and TTO, we were only able to conduct meta-analysis across severity levels of EQ-5D utility and VAS measurements. For the same reason, we were unable to quantitatively explore the study population characteristics as potential sources of inconsistency through approaches such as metaregression. Second,

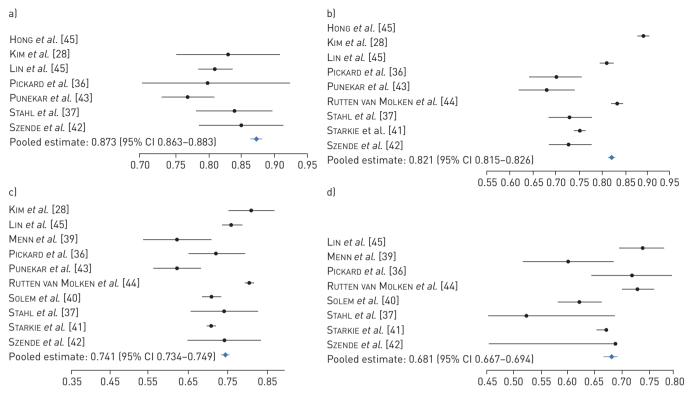


FIGURE 2 Forest plots for EuroQol-5D (EQ-5D) utility of chronic obstructive pulmonary disease patients with forced expiratory volume in 1 s a)  $\geq$ 80%, b) <80- $\geq$ 50%, c) <50- $\geq$ 30% and d) <30% predicted.

we identified a relatively small number of discrete choice and probability trade-off studies. These studies could provide information on the threshold for a change in decision [69] and have the merit of allowing customisation of the methodology according to the study objectives. The few probability trade-off and discrete choice exercise studies reported only a limited range of attributes and levels of attributes [70–72]. Lastly, given the lack of empirical knowledge in what manner and to what extent publication bias may affect our systematic review results, our assessment of publication bias is limited.

Given the breadth of findings, this systematic review has implications for healthcare providers, researchers including systematic review authors and guideline developers. This systematic review summarises current evidence to inform guideline developers about how important the benefits and harms of COPD treatment strategies are from the patients' perspective. The results will inform clinicians who make decisions with COPD patients. This systematic review provides empirical evidence to support using the relative importance of outcomes to inform values and preferences, and the methods can be used by systematic review authors who are interested in other disease topics. The utilities summarised serve as the parameter inputs for cost analyses. When guideline developers determine the balance between benefits and harms, they can take into consideration both the probability and the importance of benefits (*e.g.* symptom relief) and harms (*e.g.* adverse events) from this review. Additionally, the results of this review also help researchers identify research gaps for designing new studies.

Research gaps exist when there is no evidence, or the certainty of evidence is low or very low. For example, although there is evidence about the importance of adverse events, guideline developers need to know the exact types and probabilities of adverse events considered by patients. Researchers can use standard gamble, discrete choice and probability trade-off techniques to address the levels of adverse events, with the severities or probabilities directly relevant to the research questions [29]. Additionally, for better understanding and application of the findings, researchers also need to further explore the socioeconomic, cultural and disease-specific characteristics that influence patient values on the COPD outcomes.

There are still unanswered challenges related to the optimal strategy to elicit the outcome importance evidence. For considering the risk of bias, one concern is the merits of measurement tools involving a valuation of hypothetical scenarios in relation to measurements of an actual outcome that participants experience. If the participants value a health state specified by the investigators, barring only different interpretations or limited understanding, they value the same outcome; but if, for example, participants are asked to evaluate the

outcome "shortness of breath" they are experiencing, or having experienced in the past, the degree of shortness may vary a lot across participants. Further studies are also necessary to validate the search strategy for these types of studies. Our strategy, which is sensitive but not specific, led to a large number of hits [12], replication of which would place a substantial burden for systematic review authors and guideline panels (as it did for us).

### Conclusion

Our systematic review showed that patients value the outcome of exacerbation or hospitalisation due to exacerbation as very important. We observed large variability in the utility associated with COPD severity across studies. We identified a gradient of disutility as the disease progresses, from both the direct utility instrument VAS and the indirect utility instrument EQ-5D. Quantitative approaches, including direct and indirect utility measurement of outcomes, discrete choice exercise, probability trade-off and forced choice, represent the predominant measurement instruments investigators have used to address the importance patients place on outcomes.

Although further studies are necessary to explore the unsolved methodological questions, through this systematic review process, we demonstrated the usefulness of systematic reviews as a potential strategy for summarising evidence in this field and informing decision makers, both in the context of health technology assessments and guidelines.

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